

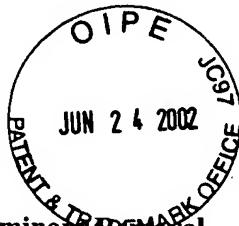
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Marshall et al.

SERIAL NO.: 10/085,358

FILED: February 28, 2002

TITLE: Apparatus for Contaminant Removal  
Using Natural Convection Flow and  
Changes in Solubility Concentrations  
by Temperature



Group Art Unit: 1746

Examiner: Not Yet Assigned

Atty Docket: SWRI-2098-08



Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the references listed on the attached Form PTO-1449 be considered by the Examiner and made of record.

This Information Disclosure Statement is not to be considered as a representation that a search has been made or that no other material information as defined under 37 C.F.R. § 1.56 exists.

The commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-0997(SWRI-2098-08).

Dated: 6-14-02

Respectfully submitted,

Paula D. Morris  
Registration No. 31,516  
Paula D. Morris & Associates, PC  
2925 Briarpark Drive, Suite 930  
Houston, Texas 77042  
Telephone: (713) 334-5151  
Facsimile: (713) 334-5157

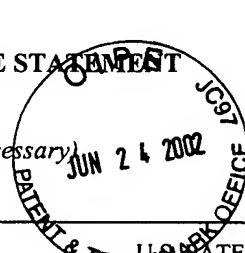
*COPY OF PAPERS  
ORIGINALLY FILED*

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.10**

I hereby certify that this paper, along with any referred to as being attached or enclosed, is being forwarded to Commissioner of Patents and Trademarks, Washington, D.C. 20231, via the United States Postal Service, First Class Mail, prepaid on the 17 day of June, 2002.

By:   
Ellen Peacock

<b>INFORMATION DISCLOSURE STATEMENT</b> <i>(Use several sheets if necessary)</i>				ATTY. DOCKET NO.	SERIAL NO.		
				SWRI-2098-08	10/085,358		
				APPLICANT			
				Marshall et al.			
				FILING DATE	GROUP		
				02/28/2002	1746		
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	1,875,937	09/06/1932	Savage			
	AB	2,123,439	07/12/1938	Savage			
	AC	3,111,952	11/26/1963	Roehl			
	AD	3,663,293	05/16/1972	Surprenant et al.			
	AE	3,868,326	02/25/1975	Talley, Jr.			
	AF	4,302,273	11/24/1981	Howard, Jr.			
	AG	4,322,252	03/30/1982	Plumb			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	AH	SU1476174 A1	30.04.89	Soviet Union			<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
	AI	AU9648290 A	97.10.02	Australia			
	AJ	EP0333946 A1	27.09.89	Europe			
	AK	EP0397826 B1	16.12.92	Europe			
<b>OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)</b>							
	AL						
	AM						
	AN						
EXAMINER				DATE CONSIDERED			
<b>*EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant							



JUN 21 2002  
 REC'D  
 TC 1700  
 U.S. PATENT & TRADEMARK OFFICE

COPY OF PAPERS  
 ORIGINALLY FILED

RECEIVED  
JUN 21 2002  
TO 1700

<b>INFORMATION DISCLOSURE STATEMENT</b> <i>(Use several sheets if necessary)</i>				ATTY. DOCKET NO.	SERIAL NO.		
				SWRI-2098-08	10/085,358		
				APPLICANT	Marshall et al.		
				FILING DATE	GROUP		
				02/28/2002	1746		
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA	4,594,164	06/10/1986	Titmas			
	BB	4,764,317	08/16/1988	Anderson et al.			
	BC	4,792,408	12/20/1988	Titmas			
	BD	4,810,264	03/07/1989	Dewitz			
	BE	4,944,837	07/31/1990	Nishikawa et al.			
	BF	5,254,598	10/19/1993	Schlameus et al.			
	BG,	5,348,803	09/20/1994	Schlameus et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	BH	AU-A-48290/96	02/10/97	Australia			YES <input type="checkbox"/> NO <input type="checkbox"/>
	BI						
	BJ						
<b>OTHER DISCLOSURES</b> (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)							
	BK						
	BL						
	BM						
EXAMINER				DATE CONSIDERED			
<b>*EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line though citation if not in conformance and not considered. Include copy of this form with next comment to applicant							

<b>INFORMATION DISCLOSURE STATEMENT</b> <i>(Use several sheets if necessary)</i>				ATTY. DOCKET NO.	SERIAL NO.		
				SWRI-2098-08	10/085,358		
				APPLICANT			
				Marshall et al.			
				FILING DATE	GROUP		
				02/28/2002	1746		
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CA	5,360,976	11/01/1994	Young et al.			
	CB	5,401,322	03/28/1995	Marshall			
	CC	5,463,220	10/31/1995	Young et al.			
	CD	5,533,538	07/09/1996	Marshall			
	CE	6,165,282	12/26/2000	Marshall et al.			
	CF						
	CG,						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	CH						<input type="checkbox"/> YES <input type="checkbox"/> NO
	CI						
	CJ						
<i>COPY OF PAPERS ORIGINALLY FILED</i>							
<b>OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)</b>							
	CK						
	CL						
	CM						
EXAMINER				DATE CONSIDERED			
<b>*EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant							